Evaluation Committee for Financial Advisor Services; RFP-001245, held a duly noticed meeting on Friday, July 14, 2017, commencing at 10:05 a.m. in the Pelican Conference Room at the CFX Administrative Bldg., Orlando, Florida.

Committee Members:
Lisa Lumbard, CFO
Michael Carlisle, Director of Accounting and Finance
Marc Ventura, Assistant Manager of Accounting and Finance
Stephen Koontz, Chairman, Finance Committee

Other Attendees:
Aneth Williams, Director of Procurement
Saul Rivas, Procurement Analyst
Angela Melton, Manager of Communications

Discussion and Motions:
Aneth started the meeting with introductions, collection of the committee member disclosure forms, and explained that the purpose of today’s meeting was to evaluate the firms, open the price proposals, finalize the evaluation, and make a recommendation to be presented to the Finance Committee for recommendation to the Board.

Proposal Evaluation Portion:
The Committee members individually scored the technical proposals and submitted them to Aneth, who then tallied the score sheets utilizing the raw scores assigned by each committee member and averaged the raw scores for each proposal received. Below are the results:

<table>
<thead>
<tr>
<th>Proposer</th>
<th>Total Raw Points</th>
<th>Average Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>FirstSouthWest</td>
<td>339</td>
<td>84.75</td>
</tr>
<tr>
<td>PFM Financial Advisors, LLC</td>
<td>384</td>
<td>91.00</td>
</tr>
</tbody>
</table>

Pricing
Aneth opened the pricing proposals and scored the pricing proposals in accordance with the RFP requirements.

<table>
<thead>
<tr>
<th>Proposer</th>
<th>Total Price</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>FirstSouthwest</td>
<td>$681,800.00</td>
<td>5</td>
</tr>
<tr>
<td>PFM Financial Advisors, LLC</td>
<td>$765,000.00</td>
<td>4.46</td>
</tr>
</tbody>
</table>

Total Points and Rankings

<table>
<thead>
<tr>
<th>Proposer</th>
<th>Tech. Points</th>
<th>Pricing Points</th>
<th>Total Points</th>
<th>Ranking</th>
</tr>
</thead>
<tbody>
<tr>
<td>FirstSouthWest</td>
<td>84.75</td>
<td>5</td>
<td>89.75</td>
<td>2</td>
</tr>
<tr>
<td>PFM Financial Advisors, LLC</td>
<td>91.00</td>
<td>4.46</td>
<td>95.46</td>
<td>1</td>
</tr>
</tbody>
</table>

The Committee will make a recommendation to the Finance Committee at its next meeting on July 31, 2017, to approve the ranking and recommend award of the Contract to the top ranked Proposer PFM Financial Advisors LLC.
There being no further business to come before the Committee, the meeting was adjourned at 10:33 a.m. These minutes are considered to be the official minutes of the evaluation and opening of the Price Proposals by the Evaluation Committee at its meeting held Friday, July 14, 2017.

Submitted by: ____________________________
Aneth Williams, Director of Procurement

On behalf of the Evaluation Committee these minutes have been review and approved by:

______________________________
Lisa Lumbard, CFO
<table>
<thead>
<tr>
<th>EVALUATOR</th>
<th>FIRSTSOUTHWEST</th>
<th>PFM FINANCIAL ADVISORS</th>
<th>PFM FINANCIAL ADVISORS</th>
<th>PFM FINANCIAL ADVISORS</th>
<th>PFM FINANCIAL ADVISORS</th>
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<th>PFM FINANCIAL ADVISORS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lisa Lumbard</td>
<td>77</td>
<td>91</td>
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<tr>
<td>Michael Carlisle</td>
<td>86</td>
<td>90</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Marc Ventura</td>
<td>86</td>
<td>91</td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>Stephen Koontz</td>
<td>90</td>
<td>92</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>AVG, TECH. POINTS</td>
<td>84.75</td>
<td>91.00</td>
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<td>#DIV/0!</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
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</table>

**PRICE PROPOSAL SUMMARY**

<table>
<thead>
<tr>
<th>PROPOSER</th>
<th>PROPOSAL AMOUNT</th>
<th>POINT VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>FIRSTSOUTHWEST</td>
<td>$681,600.00</td>
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**POINT TOTALS AND FINAL RANKING**

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<tr>
<th>PROPOSER</th>
<th>TECHNICAL POINTS</th>
<th>PRICE POINTS</th>
<th>TOTAL POINTS</th>
<th>FINAL RANKING</th>
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Committee Members:

- [Signature]
- [Signature]
- [Signature]
- [Signature]
- [Signature]
- [Signature]

7/14/2017 7/14/2017 7/14/2017 7/14/2017 7/14/2017 7/14/2017
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As a Committee member your adherence to the Florida Code of Ethics codified in Chapter 112, Part III, Florida Statutes, and Section 348.753(8), Florida Statutes, and the Central Florida Expressway Code of Ethics is required. Below is a list of questions developed to assist you in identifying and disclosing possible conflicts that you may have with participating in this solicitation selection.

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“Business associate” has the same meaning as Section 112.312(4), Florida Statutes, and means any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property.

“Employed by” includes any receipt of compensation for services.

“Gift” has the same meaning as Section 112.312(12), Florida Statutes, as supplemented by the Central Florida Expressway Authority Code of Ethics. "Gift" means that which is accepted by a donee or by another on the donee's behalf, or that which is paid or given to another for or on behalf of a donee, directly, indirectly or in trust for the donee’s benefit or by any other means for which equal or greater consideration is not given within 90 days, including, but not limited to:

1. Real property.
2. The use of real property.
3. Tangible or intangible personal property.
4. The use of tangible or intangible personal property.
5. A preferential rate or terms on a debt, loan, goods or services, which rate is below the customary rate and is not either a government rate available to all other similarly situated government employees or officials or a rate which is not available to similarly situated members of the public by virtue of occupation, affiliation, age, religion, sex or national origin.
6. Forgiveness of a debt.
7. Transportation or lodging, other than that provided to a public officer or employee in relation to officially approved government business, lodging, or parking.
8. Food or beverage.
10. Entrance fees, admission fees, or tickets to events, performances or facilities.
11. Plants, flowers or floral arrangements.
12. Services provided by persons pursuant to a professional license or certificate.
13. Other personal services for which a fee is normally charged by the person providing the services.
14. Any other similar service or thing having an attributable value not already provided for in this section.

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1. Salary, benefits, services, fees, commissions, gifts or expenses associated primarily with the donee's employment, business, or service as an officer or director of a corporation or organization.
2. Contributions or expenditures reported pursuant to Chapter 106, Florida Statutes, campaign related personal services provided without compensation by individuals volunteering their time, or any other contribution or expenditure by a political party.
3. An Honorarium or an expense related to an Honorarium Event paid to a person or the person's spouse.
4. An award, plaque, certificate or similar personalized item given in recognition of the donee's public, civic, charitable or professional service.
5. An honorary membership in a service or a fraternal organization presented merely as a courtesy by such organization.
6. The use of a public facility or public property made available by a governmental agency for a public purpose.
7. Transportation provided to a public officer or employee by an agency in relation to officially approved governmental business.
8. Gifts provided directly or indirectly by a state, regional or national organization which promotes the exchange of ideas between, or the professional development of, government officials or employees, and whose membership is primarily composed of elected or appointed public officials or staff, to members of that organization or officials or staff of a governmental agency that is a member of that organization.

"Material interest" has the same meaning as Section 112.312(15), Florida Statutes, and means direct or indirect ownership of more than 5 percent of the total assets, partnership interests or capital stock of any business entity. Indirect ownership does not include ownership by a spouse or minor child.

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Disclosure Form for Evaluation Committee Members

Persons serving on a selection committee in a solicitation process should be able to answer all the following questions in the negative. If the answer is affirmative, please explain below:

1. Are you, your spouse, child currently employed by any entity that has submitted a proposal (§ 112.313(3), (7) of Fla. Stat.; EC 6-3.016)?
   - [ ] Yes  - [ ] No

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   - [ ] Yes  - [ ] No

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4. Within the preceding 12 months have you, your spouse, or minor child been offered employment or future employment or, had any discussion involving future employment with any entity that has submitted a proposal (§ 112.313(4))?
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5. Within the preceding 12 months, have you, your spouse, or minor child household accepted a gift from any entity, or a principal of any entity that has submitted a proposal that was given to influence a vote or other action (§ 112.313(4))?
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6. Have you solicited or accepted anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the services being rendered by you for CFX would be influenced or impact thereby? (§ 112.313(2))
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7. Have you or your spouse or minor child accepted any compensation, payment, or thing of value when you knew, or, with the exercise of reasonable care, should know, that it was given to influence your judgment or the services being rendered by you for CFX? (§ 112.313(4))
   - [ ] Yes  - [ ] No

8. Do you have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, CFX, that will create a continuing or frequently recurring conflict between your private interests and the performance of your public duties or that would impede the full and faithful discharge of your public duties, subject to the exemptions set forth in Section 112.313 (12), & (15), Florida Statutes. (§ 112.313(7))
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9. Do you have any relationship which affords a current or future financial benefit to you or to a relative or business associate of you and which a reasonable person would conclude has the potential to create a prohibited conflict of interest (§348.753(8); EC 6-3.011)?
   - [ ] Yes  - [ ] No

10. Do you have a relative who is a registered lobbyist (§348.753(8); EC 6-3.012)?
   - [ ] Yes  - [ ] No

11. Do you or any relative, principal, client,or business associate of you have any interest in real property located within, or within a one-half mile radius of, any actual or prospective CFX roadway project (§348.753(8); EC 6-3.013)?
   - [ ] Yes  - [ ] No

12. Do you know of any reason that would impact your impartiality with respect to this solicitation?
   - [ ] Yes  - [ ] No
If you answer yes to any of these questions, please explain below
Additional comments

If the answer to any of the foregoing questions is "yes," then your participation on the selection committee must be approved by the Ethics Officer after a review of all relevant facts.

I, [Name], hereby certify that I have read and understand the Central Florida Expressway Authority Ethics Policy and I agree to be bound by the applicable laws and policies.

[Signature]  
[Date]
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COMMITTEE MEMBER NAME: Steven Koontz

If you answer yes to any of these questions, please explain below
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<td>6. Have you solicited or accepted anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the services being rendered by you for CFX would be influenced or impact thereby? (§ 112.313(2))</td>
<td>☐</td>
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<td>7. Have you or your spouse or minor child accepted any compensation, payment, or thing of value when you knew, or, with the exercise of reasonable care, should know, that it was given to influence your judgment or the services being rendered by you for CFX? (§ 112.313(4))</td>
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<td>8. Do you have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, CFX, that will create a continuing or frequently recurring conflict between your private interests and the performance of your public duties or that would impede the full and faithful discharge of your public duties, subject to the exemptions set forth in Section 112.313 (12), &amp; (15), Florida Statutes. (§ 112.313(7))</td>
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<tr>
<td>9. Do you have any relationship which affords a current or future financial benefit to you or to a relative or business associate of you and which a reasonable person would conclude has the potential to create a prohibited conflict of interest (§348.753(8); EC 6-3.011)?</td>
<td>☐</td>
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<tr>
<td>10. Do you have a relative who is a registered lobbyist (§348.753(8); EC 6-3.012)?</td>
<td>☐</td>
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<tr>
<td>11. Do you or any relative, principal, client, or business associate of you have any interest in real property located within, or within a one-half mile radius of, any actual or prospective CFX roadway project (§348.753(8); EC 6-3.013)?</td>
<td>☐</td>
<td>☑</td>
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<tr>
<td>12. Do you know of any reason that would impact your impartiality with respect to this solicitation?</td>
<td>☐</td>
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</tbody>
</table>
If you answer yes to any of these questions, please explain below

Additional comments


If the answer to any of the foregoing questions is “yes,” then your participation on the selection committee must be approved by the Ethics Officer after a review of all relevant facts.

I, Marc Vertura, hereby certify that I have read and understand the Central Florida Expressway Authority Ethics Policy and I agree to be bound by the applicable laws and policies.

[Signature] [Date]
Disclosure Form for Evaluation Committee Members

Dear Committee Member:

You have agreed to participate in the evaluation of the above referenced solicitation. It is essential that the integrity of this process be maintained to insure that each proposer is given fair and equal consideration. Your familiarity with particular brands, types of products, material, or firms may tend to influence your evaluation; however, you are required in this specific instance to be particularly objective and guard against any tendency that might slant your evaluation in favor of a personal preference.

As a Committee member your adherence to the Florida Code of Ethics codified in Chapter 112, Part III, Florida Statutes, and Section 348.753(8), Florida Statutes, and the Central Florida Expressway Code of Ethics is required. Below is a list of questions developed to assist you in identifying and disclosing possible conflicts that you may have with participating in this solicitation selection.

Definitions

“Business associate” has the same meaning as Section 112.312(4), Florida Statutes, and means any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property.

“Employed by” includes any receipt of compensation for services.

“Gift” has the same meaning as Section 112.312(12), Florida Statutes, as supplemented by the Central Florida Expressway Authority Code of Ethics. "Gift" means that which is accepted by a donee or by another on the donee's behalf, or that which is paid or given to another for or on behalf of a donee, directly, indirectly or in trust for the donee's benefit or by any other means for which equal or greater consideration is not given within 90 days, including, but not limited to:

1. Real property.
2. The use of real property.
3. Tangible or intangible personal property.
4. The use of tangible or intangible personal property.
5. A preferential rate or terms on a debt, loan, goods or services, which rate is below the customary rate and is not either a government rate available to all other similarly situated government employees or officials or a rate which is not available to similarly situated members of the public by virtue of occupation, affiliation, age, religion, sex or national origin.
6. Forgiveness of a debt.
7. Transportation or lodging, other than that provided to a public officer or employee in relation to officially approved government business, lodging, or parking.
8. Food or beverage.
10. Entrance fees, admission fees, or tickets to events, performances or facilities.
11. Plants, flowers or floral arrangements.
12. Services provided by persons pursuant to a professional license or certificate.
13. Other personal services for which a fee is normally charged by the person providing the services.
14. Any other similar service or thing having an attributable value not already provided for in this section.

"Gift" does not include:

1. Salary, benefits, services, fees, commissions, gifts or expenses associated primarily with the donee's employment, business, or service as an officer or director of a corporation or organization.
2. Contributions or expenditures reported pursuant to Chapter 106, Florida Statutes, campaign related personal services provided without compensation by individuals volunteering their time, or any other contribution or expenditure by a political party.
3. An Honorarium or an expense related to an Honorarium Event paid to a person or the person's spouse.
4. An award, plaque, certificate or similar personalized item given in recognition of the donee's public, civic, charitable or professional service.
5. An honorary membership in a service or a fraternal organization presented merely as a courtesy by such organization.
6. The use of a public facility or public property made available by a governmental agency for a public purpose.
7. Transportation provided to a public officer or employee by an agency in relation to officially approved governmental business.
8. Gifts provided directly or indirectly by a state, regional or national organization which promotes the exchange of ideas between, or the professional development of, government officials or employees, and whose membership is primarily composed of elected or appointed public officials or staff, to members of that organization or officials or staff of a governmental agency that is a member of that organization.

"Material interest" has the same meaning as Section 112.312(15), Florida Statutes, and means direct or indirect ownership of more than 5 percent of the total assets, partnership interests or capital stock of any business entity. Indirect ownership does not include ownership by a spouse or minor child.

"Principal" means anyone who shares in the profits of the entity or whose compensation might vary based on the award or performance of the project.

"Relative" has the same meaning as Section 112.312(21), Florida Statutes, and means an individual who is related to you as father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, half-sister, half-sibling, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, person who is engaged to be married to you or who otherwise holds himself or herself out as or is generally known as the person whom you intend to marry or with whom you intend to form a household, or any other natural person having the same legal residence as you.
Disclosure Form for Evaluation Committee Members

Persons serving on a selection committee in a solicitation process should be able to answer all the following questions in the negative. If the answer is affirmative, please explain below:

1. Are you, your spouse, child currently employed by any entity that has submitted a proposal (§ 112.313(3), (7) a Fla. Stat.; EC 6-3.016)?

   Yes   No

2. Are you, your spouse, child, or any combination of them, an officer, director, principal, or project team participant of, any entity that has submitted a proposal (§ 112.313(3); EC 6-3.016)?

   Yes   No

3. Do you, your spouse, child, or any combination of them, have a material interest in any entity that has submitted a proposal (§ 112.313(3); EC 6-3.016)?

   Yes   No

4. Within the preceding 12 months have you, your spouse, or minor child been offered employment or future employment or, had any discussion involving future employment with any entity that has submitted a proposal (§ 112.313(4))?

   Yes   No

5. Within the preceding 12 months, have you, your spouse, or minor child household accepted a gift from any entity, or a principal of any entity that has submitted a proposal that was given to influence a vote or other action (§ 112.313(4))?

   Yes   No

6. Have you solicited or accepted anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the services being rendered by you for CFX would be influenced or impact thereby? (§ 112.313(2))

   Yes   No

7. Have you or your spouse or minor child accepted any compensation, payment, or thing of value when you knew, or, with the exercise of reasonable care, should know, that it was given to influence your judgment or the services being rendered by you for CFX? (§ 112.313(4))

   Yes   No

8. Do you have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, CFX, that will create a continuing or frequently recurring conflict between your private interests and the performance of your public duties or that would impede the full and faithful discharge of your public duties, subject to the exemptions set forth in Section 112.313 (12), & (15), Florida Statutes. (§ 112.313(7))

   Yes   No

9. Do you have any relationship which affords a current or future financial benefit to you or a relative or business associate of you and which a reasonable person would conclude has the potential to create a prohibited conflict of interest (§ 348.753(8); EC 6-3.011)?

   Yes   No

10. Do you have a relative who is a registered lobbyist (§ 348.753(8); EC 6-3.012)?

   Yes   No

11. Do you or any relative, principal, client, or business associate of you have any interest in real property located within, or within a one-half mile radius of, any actual or prospective CFX roadway project (§ 348.753(8); EC 6-3.013)?

   Yes   No

12. Do you know of any reason that would impact your impartiality with respect to this solicitation?

   Yes   No

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If you answer yes to any of these questions, please explain below
Additional comments

If the answer to any of the foregoing questions is "yes," then your participation on the selection committee must be approved by the Ethics Officer after a review of all relevant facts.

I, Michael Carlisle, hereby certify that I have read and understand the Central Florida Expressway Authority Ethics Policy and I agree to be bound by the applicable laws and policies.

(Signature)  
(7/10/17)