

**CENTRAL FLORIDA EXPRESSWAY AUTHORITY
REQUEST FOR PROPOSALS FOR
INVESTMENT ADVISOR SERVICES
CONTRACT NO. 001244**

The Central Florida Expressway Authority (CFX) requires the services of a qualified firm to serve as the CFX's Investment Advisor. Consideration will be given to only those respondents who are qualified to perform the work as determined by CFX. Proposal documents are available for download at the CFX web site located at <https://www.cfxway.com/Procurement/Account/Login?ReturnUrl=%2fProcurement>. Proposer registration is required to access and download documents. Instructions for registration are provided on the website.

CFX has determined that the use of the request for proposals process is in its best interest for this solicitation and that the selection of a contractor based solely on the lowest price (invitation to bid process) is not practicable. The RFP process provides the opportunity for CFX to review and evaluate information that CFX feels is important and necessary to ensure that the selected proposer has the requisite qualifications, experience, and understanding of the project objectives from both a corporate and staffing standpoint. Review and evaluation of these criteria is not available using the invitation to bid process.

DESCRIPTION OF SERVICES: The Scope of Services describes in detail the investment advisory services required by CFX for its short-term and medium-term investment portfolio. The Investment Advisor shall manage the funds in accordance with the laws of the State of Florida and the investment policies and procedures established by CFX.

QUALIFICATION REQUIREMENTS: The Proposer shall be registered with the Securities and Exchange Commission (SEC) under the Investment Advisor's Act of 1940. As a minimum, the Proposer qualifications shall include: minimum of ten years of experience in managing fixed income assets for state and local government entities; currently managing at least \$5 billion of domestic fixed income assets; knowledge of all applicable Florida statutes with regard to qualified investments for public entities; financially solvent and appropriately capitalized to be able to service CFX for the duration of the contract; have Errors & Omissions and Fiduciary Liability Insurance coverage of at least \$10 million.

CFX will evaluate and determine the relative ability of each firm to perform the required services based upon the information provided by the firm in response to the RFP.

DISADVANTAGED/MINORITY/WOMEN/BUSINESS ENTERPRISE PARTICIPATION: Disadvantaged/Minority/Women/Business Enterprises will not be discriminated against on the basis of race, color, sex, or national origin in consideration for qualification or an award by CFX. CFX has established a 15% D/M/WBE participation objective for this project.

CODE OF ETHICS: All firms selected to work with CFX are required to comply with the CFX Code of Ethics, a copy of which is available on the CFX web site at www.cfxway.com.

PROPOSAL DUE DATE: Proposals will be received by CFX at its Headquarters Building until 1:30 p.m. Orlando local time, on February 23, 2017, as shown on the time/date stamp clock at the receptionist's desk in the lobby. The clock will serve as the official instrument to determine when a proposal is received. A submittal that is clocked in after the date/time specified will be rejected and not accepted. Rejection of a submittal under those circumstances shall not be the basis of a protest pursuant to the CFX Procedure for Resolution of Protests.

EQUAL OPPORTUNITY STATEMENT: The Central Florida Expressway Authority, in accordance with the provisions of Title VI and Title VII of the Civil Rights Act of 1964, as amended, prohibits discrimination on the basis of race, color, sex, national origin, age, religion and disability. CFX hereby notifies all Proposers and individuals that it requires and encourages equal employment opportunity for minority and women as employees in the workforce.

NON-SOLICITATION PROVISION: From the first date of publication of this Notice, no person may contact any CFX Member, Officer, Employee, or any evaluation committee member, with respect to this Notice or the services to be provided, except as related to the submittal requirements detailed in the RFP. Reference is made to the lobbying guidelines of CFX for further information regarding this Non-Solicitation Provision.

INSPECTOR GENERAL: The corporation, partnership, or person understands and shall comply with subsection 20.055(5), Florida Statutes.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

Aneth Williams
Director of Procurement